

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Phillips, et al.

Attorney Docket No.: NIKOP064

Application No.: 10/578,752

Examiner: To Be Assigned

Filed: November 10, 2004

Group: 2851

Title: THERMOPHORETIC TECHNIQUES
FOR PROTECTING RETICLES FROM
CONTAMINANTS

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited
with the United States Postal Service as First Class Mail to:
Commissioner for Patents, Alexandria, Virginia 22313-1450 on
December 22, 2006.

Signed: Deborah Neill

Deborah Neill

**COMPLETION OF FILING REQUIREMENTS FOR INTERNATIONAL
APPLICATION ENTERING NATIONAL STAGE IN U.S.
(35 U.S.C. 371)**

Mail Stop PCT
Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In response to the Notification of Missing Requirements mailed on November 14, 2006,
enclosed herewith are the following:

- ☒ Oath or Declaration of inventors.
- ☒ Surcharge set forth in 37 CFR § 1.492(e) for providing the oath or declaration later than
the appropriate 20 or 30 months from the priority date--\$130
- ☒ Communication
- ☒ A copy of the Notification of Missing Requirements form

Enclosed is our Check No. 12727 for \$130 in payment of the surcharge. The
Commissioner is authorized to charge any other fees that may be due to our Deposit Account No.
500388 (Order No. NIKOP064).

12/28/2006 ATRAN1 00000083 10578752

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Respectfully submitted,
BEYER WEAVER & THOMAS, LLP

Michael L. Louie

Michael L. Louie
Registration No. 36,988

P.O. Box 70250
Oakland, CA 94612-0250



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/578,752	Alton Hugh Phillips	NIKOP064/PA0647

022434
 BEYER WEAVER & THOMAS, LLP
 P.O. BOX 70250
 OAKLAND, CA 94612-0250

BEYER WEAVER & THOMAS, LLP
ATTY: <u>SDB</u> ASSOC.: <u>MLL</u>
ACTION: <u>Missing Requirements</u>
DUE DATES: <u>01/14/07</u>
DOCKETED: <u>11/16</u> BY: <u>CU</u>
DOCKET NO.: <u>NIKOP064</u>

INTERNATIONAL APPLICATION NO.	
PCT/US04/37542	
I.A. FILING DATE	PRIORITY DATE
11/10/2004	11/10/2003

CONFIRMATION NO. 1519
 371 FORMALITIES LETTER



Date Mailed: 11/14/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 05/04/2006
- Copy of the International Search Report filed on 05/04/2006
- Preliminary Amendments filed on 05/04/2006
- Information Disclosure Statements filed on 05/04/2006
- Oath or Declaration filed on 05/04/2006
- Request for Immediate Examination filed on 05/04/2006
- U.S. Basic National Fees filed on 05/04/2006
- Priority Documents filed on 05/04/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - The first inventor's name is shown differently on the International Application than on the Declaration. Please clarify.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

JOHN L ANDERSON

Telephone: (703) 308-9140 EXT 211

PART 1 - ATTORNEY/APPLICANT COPY

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10/578,752	PCT/US04/37542	NIKOP064/PA0647

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Deborah Neill

COMMUNICATION

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The U.S. Patent and Trademark Office has notified Applicant that the name of the first inventor, Alton Hugh Phillips, as shown on the Declaration and Power of Attorney filed with the National Phase application, does not match the name shown on the PCT application, "ALTON, Phillips H."

This discrepancy is due to a typographical error, which occurred when the PCT application was filed. The correct name of the first inventor is as shown on the Declaration and Power of Attorney, "Alton Hugh Phillips". Inventor, Alton Hugh Phillips, has executed the Declaration and Power of Attorney with his correct name, "Alton Hugh Phillips".

Respectfully submitted,
BEYER WEAVER & THOMAS, LLP



Michael L. Louie

Registration No. 36,988

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